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In re Application of:

James P. Coppola III

Application No.:

09/587,619

Filing Date:

June 5, 2000

Title:

Portfolio Accounting and Risk
Management

Direct to:

Box Reconstruction
United States Patent and Trademark Office
Washington, DC 20231

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GROUP 3600

NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

- The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.
- The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).
- The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.
- Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

March 20, 2006
Date

Beverly E. Hjorth
Signature

Beverly E. Hjorth, Reg. No. 32,033
Typed or printed name Attorney for Applicant

A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Rev 07/05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : James P. Coppola III
Application No. : 09/587,619
Filed : June 5, 2000
Confirmation No. : 8863
For : PORTFOLIO ACCOUNTING AND RISK MANAGEMENT
SYSTEM
Examiner : Sally Shih
Attorney's Docket : TRD-001XX

TC Art Unit: 3624

*
I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to: Mail Stop Reconstruction, United States
Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-
1450 on March 30, 2006.

By: Beverly E. Hjorth
Beverly E. Hjorth
Registration No. 32,033
Attorney for Applicant

* *

RESPONSE TO NOTICE UNDER 37 C.F.R. § 1.251

Mail Stop Reconstruction
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Jackie Waldo

Sir:

In response to the Notice Under 37 C.F.R. § 1.251 dated January 5, 2006, Applicant submits herewith a copy of applicant's record of all correspondence between the Office and the Applicant in the above-identified application.

A list of this correspondence is as follows:

Correspondence to the Patent Office:

- Application as filed on June 5, 2000, including:
 - Application, pages 1-39;
 - 28 sheets of informal drawings;
 - Declaration and Power of Attorney (unsigned)
 - Copy of Verified Small Entity Statement from provisional application
 - Transmittal letter (4 sheets)
 - Express Mail Log Sheet
- Letter to Official Draftsperson Re: Submission of Formal Drawings with 26 sheets of formal drawings, mailed August 24, 2000
- Letter enclosing Missing Parts including Notice to File Missing Parts, signed Declaration, and copy of Small Entity Statement from provisional application, mailed September 8, 2000;
- Information Disclosure Statement (citing three U.S. patent documents and ten non-patent documents) and ten non-patent documents, mailed April 10, 2001;
- Status Letter, mailed August 28, 2002;
- Petition to Revive, Statement of James P. Coppola, and Amendment Transmittal Sheet and Amendment, filed by facsimile on September 24, 2004;
- Information Disclosure Statement (citing three U.S. patent documents), including copy of International Preliminary Examination Report in corresponding PCT International Application No. PCT/US00/15452, mailed December 13, 2004;
- Status Letter, mailed May 24, 2005.

Correspondence from the Patent Office:

- Notice to File Missing Parts of Nonprovisional Application and Filing Receipt, dated August 16, 2000;
- Filing Receipt, dated September 20, 2000;
- Letter regarding projected examination date, dated September 10, 2002;
- First Office Action, including Notice of References Cited (citing seven U.S. patent documents and one Japanese patent document) and one Japanese patent document, dated June 25, 2003;
- Notice of Abandonment, dated January 13, 2004;
- Decision granting Petition to Revive; dated December 6, 2004;
- Notice Under 37 C.F.R. § 1.251, dated January 5, 2006.

The enclosed copy is a complete and accurate copy of Applicant's record of all of the correspondence between the Office and the Applicant for the above-identified application (except for U.S. patent documents).

Applicant is not aware of any correspondence between the Office and Applicant for the above-identified application that is not among applicant's records.

Application No. 09/587,619
Filed: June 5, 2000
TC Art Unit: 3624
Confirmation No.: 8863

The Examiner is encouraged to telephone the undersigned attorney to discuss any matter that would expedite prosecution of the present application.

Respectfully submitted,

JAMES P. COPPOLA III

By: Beverly E. Hjorth
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